

The Applicant(s) apply(ies) to open an account with The Bank of N.T. Butterfield & Son Limited, which shall be governed by the General Terms & Conditions as modified from time to time and as available on the Bank's website or in Banking Centre welcome areas.

Name and address for account correspondence \_\_\_\_\_

**ACCOUNT INFORMATION**

Chequing  BMD  USD  CAD  GBP      Saving  BMD  USD

Young Savers

Fixed term deposit options  1 Year  2 Year  3 Year  4 Year  5 Year  BMD  USD  other \_\_\_\_\_

**CUSTOMER INFORMATION**

Primary Applicant

Joint Applicant

First & middle names \_\_\_\_\_

Last name \_\_\_\_\_

Previous names \_\_\_\_\_  
(including maiden name or change by deed poll)

Street address and postal code \_\_\_\_\_

Gender  Male  Female  Male  Female

ID type and number, (e.g. passport) \_\_\_\_\_

Date of birth (dd/mm/yyyy) \_\_\_\_\_

Country of birth \_\_\_\_\_

Country(ies) of citizenship \_\_\_\_\_

Do you hold a passport for any other country?  Yes  No  Yes  No

Are you (or will you be) ordinarily resident in Bermuda?  Yes  No  Yes  No

Are you a resident for tax purposes of any country other than Bermuda?  Yes  No  Yes  No

If Yes, please list country(ies) of residency for tax purposes and corresponding Social Insurance / similar Taxpayer Identification Number (where applicable):

Country \_\_\_\_\_ Country \_\_\_\_\_

ID number \_\_\_\_\_ ID number \_\_\_\_\_

Phone details \_\_\_\_\_  
Work Home Mobile Work Home Mobile

E-mail \_\_\_\_\_

Occupation \_\_\_\_\_

Employer \_\_\_\_\_

If self-employed, please state the name of business \_\_\_\_\_

Mother's maiden name \_\_\_\_\_  
(for security purposes)

Are you a close associate or immediate family member of a politically exposed person (PEP)?  Yes  No  Yes  No

If yes, provide name of PEP \_\_\_\_\_

**INTERNET BANKING**

Yes  No  Yes  No

Would you like the option of sending wires?  Yes  No  Yes  No

If so, please specify the limit  1 - 1,000  1,001 - 5,000  5,001 - 10,000  1 - 1,000  1,001 - 5,000  5,001 - 10,000  
 10,001 - 50,000  50,001 - 100,000  100,001 - 200,000  10,001 - 50,000  50,001 - 100,000  100,001 - 200,000

Would you like to receive a paper statement?  Yes  No  Yes  No  
(Bank fees apply for paper statements)

Please print and complete a separate copy of this page for each account to be opened.

**DEBIT CARD & YOUTH DEBIT CARD**

New card     Primary account  
 Existing card     Secondary account

New card     Primary account  
 Existing card     Secondary account

Primary account name

Secondary account name

Account number

Butterfield card number (16 digits)

**Declaration of parent/guardian of account holder aged 10 to 17 years old.**

I give the above named young person permission to obtain and operate a Youth Debit Card. (Daily limit \$50 ATM / \$300 POS)

Name (print)  Signature

**CHEQUES**

Yes     No     Stub     No stub

Number of cheque books required:

Collect from:  Reid Street     Private Banking     Somerset     St. George's     Mail

**OPERATION OF ACCOUNT** Account type  Account currency

What is the purpose of this account?

Why have you chosen to establish the account in Bermuda? (Non-residents only)

Do any of the account holders have a connection with Bermuda? (Non-residents only)  
 (e.g. immediate family ties, Bermudian citizenship, property ownership, BMD pension payment)     Yes     No

If so, please provide details.

Is this account being held for the benefit of another party other than those named on the account?     Yes     No

If so, please provide details.

**ACCOUNT FINANCIAL DETAILS** Initial deposit \$

Initial funds deposited via:  Internal transfer     Local bank transfer     Personal cheque     Cash     Wire

Where are the funds coming from?  Self or from other Individual / Entity / (Name):

Origin of funds - city, country

How were the initial funds acquired?

Source of wealth (We may require evidence to support the information you have provided. Select all that apply):

Salary     Rental income     Sale of property  
 Sales revenue     Investment income     Other (please describe)

Please give details of expected wire activity (i.e. two wires per month to Canada of \$1000 each):

Estimated total monthly incoming funds \$  Estimated total monthly outgoing funds \$   
 (If more than one account holder insert combined total)

**BANK USE ONLY** Account number

**DECLARATION**

To enable Butterfield to confirm and document my tax status accurately (including, but not limited to, my tax status as a US Person or Non-US Person for US federal income tax purposes), I hereby certify that:

- (i) The information provided above is complete and accurate.
- (ii) I am the beneficial owner of the account, or am authorised to sign on behalf of the individual who is the beneficial owner of the account.
- (iii) I will notify Butterfield immediately in the event of any change in the personal information provided in this Declaration or in my circumstances relevant to this Declaration, and will provide Butterfield with an updated Declaration within 30 days of such change occurring. I further understand and agree that any failure to do so shall entitle Butterfield, in its sole discretion, to terminate any account in which I have a beneficial interest.
- (iv) I will comply with all tax and exchange control laws, regulations and reporting requirements imposed by any applicable jurisdiction relating to my accounts maintained with Butterfield.
- (v) I authorise Butterfield, at its sole discretion, to provide a copy of this form and any information regarding any account with Butterfield to which I am a party to any tax authority or party that is authorised to act on behalf of such an authority.
- (vi)  I am\* currently a US Person<sup>1</sup>  I am\* currently a US Person<sup>1</sup>  
 I am not\*\* currently a US Person<sup>1</sup>  I am not\*\* currently a US Person<sup>1</sup>

\* This assertion must be supported by a completed IRS form W-9 (accessible from [www.irs.gov/](http://www.irs.gov/)); or

\*\* Please note that you may be required, under certain circumstances, to provide additional information/documentation confirming your tax status before an account is opened.

Applicant signature  
(please sign within block)



Date



**RIGHT OF SURVIVORSHIP** (For joint account holders)

Please select one of the following:

- Tenancy in common: upon the death of one of the joint account holders the account balance will be split evenly and the deceased's share of the account balance will pass to the deceased's estate.
- Joint tenancy: upon the death of one of the joint account holders the entire account balance vests in the surviving account holder(s).

**IDENTIFICATION AND DEPOSIT REQUIREMENTS**

Customers are required to provide the following: (Existing customers should provide updated documents where necessary)

- Verification of nationality.** All applicants must provide: i) an original or notarised copy of all current passports or ii) a birth certificate and government issued photo identification. Identification should state the true legal name, date of birth, place of birth and nationality of the account applicant(s).
- W-9 Form as appropriate.** This information is required to enable Butterfield to file information returns with certain tax authorities. If you are a US Person<sup>1</sup>, this information should be supported by a completed IRS Form W-9. You may be required to periodically update the information provided in support of this assertion.
- Verification of main source of income: RESIDENTS AND NON-RESIDENTS** must provide documentation to support their main source of income e.g., pay slip, letter from employer or trust, letter and source of funds from parent/guardian if account holder is youth or overseas student (along with proof of enrolment), investment statement, etc.
- Verification of physical street address (where you reside) and mailing address (if different from physical and not a P.O. Box).** Valid driver's license or any one of the following documents: household utility bill or statement from bank other than Butterfield both from within 3 months. Rental agreement or letter from landlord; letter from employer; letter from member of household over 18 along with their address verification; property tax invoice (current year).
- Minimum deposit.** BM\$100 or equivalent is required to open a chequing or savings account. BM\$20 is required to open a Young Savers account.
- Verification of Bermuda residency (NON-BERMUDIANS ONLY).** An official immigration document is required if you are resident in Bermuda and are not Bermudian.

**It is Bank policy to verify the source of funds before accepting or executing any transaction.**

<sup>1</sup> A US Person is defined in Section 7701 (a) (30) of the Internal Revenue Code as a citizen or resident of the United States. A citizen generally means a person born or naturalised in the United States. A resident of the United States generally means (i) a lawful permanent resident, which includes the holder of a Green Card; (ii) an individual who meets a test indicating "Substantial Physical Presence" for the calendar year in the United States; or (iii) an individual who makes a valid election to be treated as a resident of the United States. If you are unsure whether you are a US Person, you should consult your tax advisor.

Clients and other individuals have certain rights with respect to the data held by Butterfield. The details of the individual rights, as well as how we handle the data provided to us, can be found in our Privacy Statement which can be obtained from [www.butterfieldgroup.com](http://www.butterfieldgroup.com) or by contacting LICBermuda@butterfieldgroup.com.

**BANK USE ONLY**

Account number	Account number
Primary applicant	Joint applicant
CIF#	
Staff <input type="checkbox"/> Yes <input type="checkbox"/> No	Client <input type="checkbox"/> New <input type="checkbox"/> Existing
Staff <input type="checkbox"/> Yes <input type="checkbox"/> No	Client <input type="checkbox"/> New <input type="checkbox"/> Existing
Completed by (print)	Signature
	Date
Approved by name (print)	Signature
	Date